

HIA and decision-making processes





Plan

- •What is public policy?
- The role of evidence in policy making
- Facilitating the use of scientific knowledge by policy makers
- Different uses of scientific knowledge
- Conclusion





Setting the context

The purpose of HIA is twofold:

- 1. Estimate the potential effects of a proposed policy or project
- 2. Influence and support the decision making process





Public Policy: Definition

« Public policy consists of political decisions for implementing programs to achieve societal goals » (Charles, L. et al, 2001)

« Public policy is the outcome of the struggle in government over who gets what » (Clarke et al, 2001)

Relies on compromises between multiple and sometimes conflicting interests





complex process ...

The considerations to take into account when making policy choices can be vast. These schemas (see the links below) illustrate the enormous complexity that sometimes accompanies the search for the best option.

This was presented to American military generals in the context of the war in Afghanistan.

http://www.guardian.co.uk/news/datablog/2010/apr/29/mcchrystal-afghanistan-powerpoint-slide

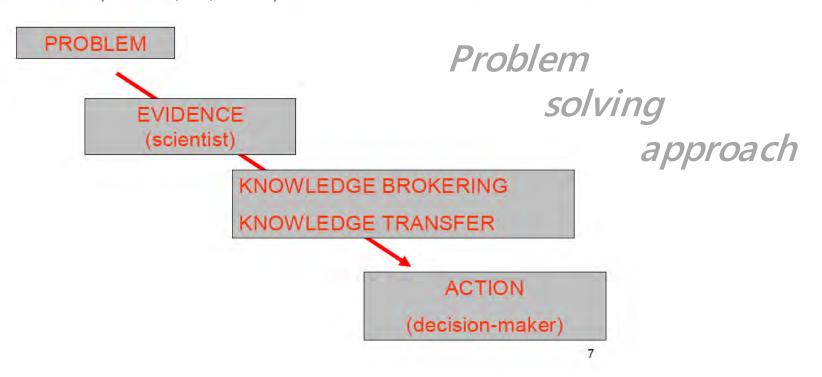
This illustrative conceptual map tracing the determinants of the obesity epidemic. http://www.shiftn.com/obesity/Full-Map.html





... not always rational and linear

Figure 1: The dominant view of policy-making in health sciences: from evidence to policy (Fafard, P., 2008)







The 7 kinds of KNOWledge in Policy

Know-about the problem

Know-what works

Know-how to put into practice

Know-who to involve

Know-when to act

Know-where to distribute resources

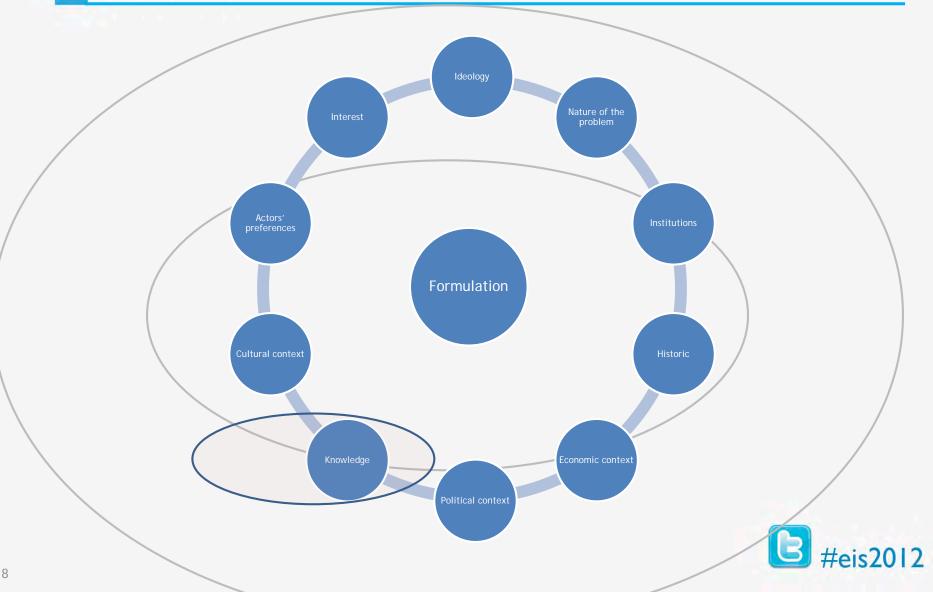
Know-why people act: symbolism, values, politics, ethics

(Adapted from Nutley et al, 2002)





Knowledge among other influences





Two communities







Framing

Accountability

Timeline

Type of knowledge

Format

(Caplan, 1979)





Facilitators for knowledge utilization by decision makers

- Individual characteristics of the producer and the knowledge broker: Credibility
- Match between the needs and the knowledge provided:
 Relevance; good timing
- Involvement of the users in the development process of the knowledge: Interaction, co-construction of knowledge
- Quality of the knowledge produced:
 Rigour, transparency
- Quality of the communication of the results:
 Plain language

(Choi et al, 2005; Innvaer et al, 2002; Landry, 2007; Lavis et al, 2005; Lemire et al, 2009)



Conditions of success of the utilization of the HIA results

- Wismar and Blau (2009) (22 HIAs in European countries)
 Taking into account the values and goals of other sectors quality of communication between partners (constructive dialogue)
- Haigh et al (2012) (55 HIAs in Australia and New Zealand)
 Involvement of decision makers intersectoral approach learning
- Pollack et al (2012) (60 HIAs in United States)
 Inclusive approach balanced assessment transparency





Various forms of knowledge utilization

Instrumental (or direct)

Conceptual (or indirect)

Symbolic (or strategic)

(Caplan, 1979; Weiss, 1999; Lemire et al, 2010)





In summary

Public policy is the result of compromise and does not always rely on a rational process

Knowledge produced by the HIA is balanced with other types of information in a context of diverse values and interests

HIA can be seen as an opportunity for knowledge brokering

There are several forms of knowledge utilization





References

Caplan, N. (1979). The Two-Communities Theory and Knowledge Utilization. *American Behavioral Scientist*, 22(3), 459-470.

Charles, L. et al. (2001). Cited by Thomas Birkland, in *An introduction to Policy Process: Theories, Concepts, and Models of Policy Making*. M.E.Sharpe: 21

Choi, C. K. et al. (2005). Can scientists and policy makers work together? *J Epidemiol Community Health*; 59:632-637.

Clark et al. (2001). Cited by by Thomas Birkland, in *An introduction to Policy Process: Theories, Concepts, and Models of Policy Making.* M.E.Sharpe: 21

Fafard, P. (2008). Evidence and Healthy Public Policy: Insights from Health and Political Sciences. Canadian Policy Research Networks. National Collaborating Centre for Healthy Public Policy. INSPQ. (Qc).

Haigh, F. (2012). HIA - what difference does it make? Effectiveness of HIA in New Zealand, Australia and beyond. Presentation to the National HIA Meeting. June 2012, Washington. DC. Retrieved from: www.healthimpactproject.org

Harris-Roxas, B. *Conceptual framework for evaluating impacts and effectiveness of HIA*. Retrieved from: http://www.hiaconnect.edu.au/evaluating_hia.htm. Consulté le 9.08.2012.

Innvaer, S. et al. (2002). Health policy-makers' perceptions of their use of evidence: a systematic review. *Journal of Health Services Research & Policy*. Vol.7:2: 239-244.

Kemm, J. (2001). Health Impact Assessment: a tool for Healthy Public Policy. *Health Promotion International*, 16, 79-85.

Kemm, J. (2005). The limitations of 'evidence-based' public health. *Journal of Evaluation in Clinical Practice*, 12, 3, 319-324.



References

Landry, R. (2007). Élaboration d'un outil de transfert de connaissances destiné aux gestionnaires en éducation : Rapport de la revue systématique des écrits. Chaire FCRSS/IRSC sur le transfert de connaissances et l'innovation. Département de management, Université Laval, Québec (Qc).

Lavis, J. et al. (2005). Towards systematic reviews that inform health care management and policy-making . *Journal of Health Services Research & Policy;* Jul 2005; 10.

Lemire, N. et al. (2009). Animer un processus de transfert des connaissances. Bilan des connaissances et outil d'animation. Institut national de santé publique du Québec, Gouvernement du Québec (Qc).

Nutley et al. (2002). *Evidence Based Policy and Practice: Cross Sector Lessons From the U*K. Centre for Evidence Based Policy and Practice; Research Utilisation University of St Andrews.

Pollack, K. M. (2012). Valuable information from the practitioner; need perspectives from policy makers and decision-makers. Presentation to the National HIA Meeting. June 2012 Washington. DC. Retrieved from: http://www.healthimpactproject.org/resources/national-hia-meeting-day-one-plenary-panel-state-of-the-practice-impacts-of-hia.

Weiss, C. H. (1979). The Many Meanings of Research Utilization. Public Administration Review, 39,426-431.

Wismar M, et al (Eds.). (2007). The Effectiveness of Health Impact Assessment: Scope and limitations of supporting decision-making in Europe. World Health Organisation. Retrieved from: http://www.hiaconnect.edu.au/files/The_Effectiveness_of_HIA.pdf

